

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: Paz de Araujo et al.  
Serial No.: 10/626,413  
Filed: 24 July 2003  
For: FERROELECTRIC AND HIGH DIELECTRIC CONSTANT INTEGRATED CIRCUIT CAPACITORS WITH THREE-DIMENSIONAL ORIENTATION FOR HIGH-DENSITY MEMORIES, AND METHOD OF MAKING THE SAME

Group Art Unit: 2812  
Examiner: Ghyka, Alexander G.  
Confirmation No.: 9869

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

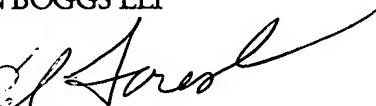
Dear Sir:

In accordance with 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is directed to the cited references listed on the attached PTO/SB/08A. The cited references are believed to be the most relevant known to Applicants and/or Assignee at this time concerning the invention as claimed in the above-captioned patent application. No representation is made or intended that more relevant information does not exist or that the order of presentation of the information in any way reflects their relative pertinence.

Applicants respectfully request that each of the cited information be expressly considered during the prosecution of this application and that the cited references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Since the cited references are US publications, a copy of each reference is not required.

This Supplemental Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Action or Notice of Allowance. 37 C.F.R. §1.97(d). I hereby certify that each item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement. 37 C.F.R. §1.97(e)(1). Thus, it is believed that no fee is required. However, if any fee is seen to be required, the Commissioner is authorized to charge Deposit Account No. 50-1848.

Respectfully submitted,  
PATTON BOGGS LLP

By:   
Carl A. Forest  
Customer No. 24283

Date: 3/10/05  
Registration No. 28,494  
Tel. No.: 303-894-6114  
Fax No.: 303-894-9239

---

CERTIFICATE OF MAILING (37 C.F.R. 1.8(a))

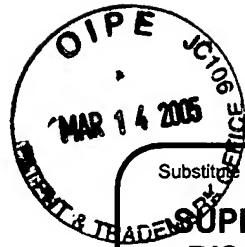
---

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Elaine C. VonSpreckelsen

Date: March 10, 2005

  
Signature



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

~~EMERGENCY~~ SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT BY  
APPLICANT

(use as many sheets as necessary)

**Shee**

of 1

***Complete if Known***

Application Number	10/626,413
Filing Date	24 July 2003
First Named Inventor	Carlos A. Paz de Araujo
Art Unit	2812
Examiner Name	Ghyka, Alexander G.
Attorney Docket Number	013176.0431C1US

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*